



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/421
7 Jun 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(7)(A) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of one allegation of possible misconduct against (b)(7)(A) USN, while (b)(7)(A) served as (b)(7)(A) USS RONALD REAGAN (CVN 76) from September 2010 to September 2012. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against (b)(7)(A). In addition, there is no evidence that (b)(7)(A) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that on or about 3 May 2011, while serving as (b)(7)(A) RONALD REAGAN, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) Phuket, Thailand, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding this allegation, I determined (b)(7)(A) held an honest and reasonable belief that (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of the gifts received, nor would it be appropriate in this case.

4. My point of contact for this letter is (b)(7)(C) may be reached at (b)(7)(C)


C. W. GRADY

Copy to:
VCNO (N09D)
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/420
31 May 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: CONCLUSION OF REVIEW ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred by the Department of Justice (DOJ) and Defense Criminal Investigative Service (DCIS) regarding (b)(6), (b)(7)(A), (b)(7)(C) USN, (b)(6), (b)(7)(A), (b)(7)(C) served as (b)(6), (b)(7)(A), (b)(7)(C) USS COWPENS (CG 63) from November 2006 to May 2008. After a thorough review, I determined there is no evidence on which to base any viable allegation of misconduct. In addition, there is no evidence that (b)(6), (b)(7)(A), (b)(7)(C) accepted any gifts paid for by Mr. Leonard Francis/GDMA, a prohibited source.

2. I recommend that Navy Personnel Command remove any administrative actions associated with (b)(6), (b)(7)(A), (b)(7)(C) that are related to this matter. My point of contact for this letter is (b)(7)(C) (b)(7)(C) may be reached at (b)(7)(C)


C. W. GRADY

Copy to:
VCNO (N09D)
NAVIG
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/419
31 May 18

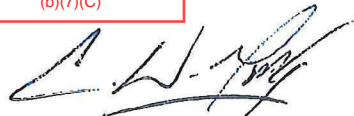
From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: CONCLUSION OF REVIEW ICC [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/18U112912 of 5 May 18

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters referred by the Department of Justice (DOJ) and Defense Criminal Investigative Service (DCIS) regarding [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN. [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was assigned to Strike Fighter Squadron ONE ONE THREE (VFA-113), aboard USS CARL VINSON (CVN 70), from June 2011 to March 2014. After a thorough review, I determined there is no evidence on which to base any viable allegation of misconduct. In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) accepted any gifts paid for by Mr. Leonard Francis/GDMA, a prohibited source.

2. I recommend that Navy Personnel Command remove any administrative actions associated with [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) that are related to this matter. My point of contact for this letter is [REDACTED] (b)(7)(C) [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C)


C. W. GRADY

Copy to:
VCNO (N09D)
NAVIG
NCIS [REDACTED] (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/418
22 May 18

From: Commander, U.S. Fleet Forces Command

To: (b)(7)(A) USN

Subj: REQUEST FOR INFORMATION ICO (b)(7)(A) USN

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed information that, while serving as (b)(7)(A) USS LASSEN (DDG 82) between 2008-2010, and as (b)(7)(A) U.S. Seventh Fleet (C7F), between 2010-2012, you:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations and provide any necessary context for these or other interactions you may have had with Mr. Francis, (b)(7)(A), (b)(7)(D)

Subj: REQUEST FOR INFORMATION ICO [REDACTED] USN

and/or GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 1 June 2018. Should you have any questions or need additional time, please contact [REDACTED]@navy.mil.

[REDACTED]

[REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/417
18 May 18

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(A), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed information that, while serving as (b)(6), (b)(7)(A), (b)(7)(C) Commander, U.S. Seventh Fleet (C7F), you:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations and provide any necessary context for these or other interactions you may have had with Mr. Francis and/or GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 25 May 2018. Should you have any questions or need additional time, please contact (b)(7)(C) @navy.mil.

(b)(7)(C)

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/ 416
18 May 18

From: Commander, U.S. Fleet Forces Command
To: (b)(6), (b)(7)(A), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed information that:

(b)(7)(A)

Subj: REQUEST FOR INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations and provide any necessary context for these or other interactions you may have had with Mr. Francis and/or GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 25 May 2018. Should you have any questions or need additional time, please contact (b)(7)(C) @navy.mil.

(b)(7)(C)

(b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1662 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5500
Ser CDA/ 415
17 May 18

From: Commander, U.S. Fleet Forces Command
To: Department of Defense Consolidated Adjudication Facility (Attn: [REDACTED])

Subj: CONSOLIDATED DISPOSITION AUTHORITY ADJUDICATIONS

Ref: (a) DODCAF List of GDMA Flagged Personnel
(b) SECNAV M-5510.30

Encl: (1) CDA Determination Table as of 11 May 18

1. The Consolidated Disposition Authority (CDA) for cases related to Glenn Defense Marine Asia (GDMA) matters reviewed investigations and other materials concerning those persons listed in reference (a) who have been referred to the CDA for adjudication. Certain of these cases revealed questionable or unfavorable information as defined by Exhibit 10A of reference (b), while others were closed with no adverse findings or accountability actions taken. Enclosure (1) is provided as your reference for these determinations to date, annotated to indicate persons for whom derogatory information was previously reported by the CDA to DODCAF via JPAS.

2. The CDA will continue to report questionable or unfavorable information to DODCAF via JPAS. For on-going cases, the CDA will transmit amplifying as adjudications are completed. My point of contact in this matter is [REDACTED] or by e-mail at

[REDACTED]

@navy.mil.

[REDACTED]

1. Persons for whom the CDA investigation revealed questionable or unfavorable information as defined by Exhibit 10A of reference (b):¹

(b)(6), (b)(7)(A), (b)(7)(C)
(b)(7)(A)
RDML Jansen, A. J.
(b)(7)(A)

RDML Norton, K. J.*
(b)(6), (b)(7)(A), (b)(7)(C)
RADM Miller, M. H.
RDML Pimpo, D. R.
(b)(7)(A)

CDR Starmer, J. W.
CAPT Steinberger, J. F.
LT Vapor, P.L.
CWO3 Ware, B.T.

2. Persons for whom access to classified information was suspended as a result of questionable or unfavorable information discovered during an on-going review process:²

(b)(7)(A)
CAPT Conroy, T. M.*

CAPT Johnson, C. A.
(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C)

3. Persons listed in reference (a), for whom the CDA investigation revealed no questionable or unfavorable information as defined by Exhibit 10A of reference (b):³

(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C)
RADM Kraft, T. B.

(b)(6), (b)(7)(A), (b)(7)(C)

4. Persons contained in reference (a) who have not been referred to the CDA:

Beliveau, J.
(b)(6), (b)(7)(A), (b)(7)(C)
Brooks, M.G.
(b)(6), (b)(7)(A), (b)(7)(C)
LCDR Debord, G.D.
Deguzman, E.G.
CAPT Dolan, J.R.
(b)(6), (b)(7)(A), (b)(7)(C)
CAPT Dusek, D.P.
RDML Gilbeau, R.J.
Gorsuch, R.P.
(b)(6), (b)(7)(A), (b)(7)(C)

(b)(6), (b)(7)(A), (b)(7)(C)
CDR Herrera, M.P.
(b)(6), (b)(7)(A), (b)(7)(C)
Kapaun, D.M.
(b)(6), (b)(7)(A), (b)(7)(C)
LS1 Layug, D.T.
RDML Loveless, B.F.
LCDR Malaki, T.D.
(b)(6), (b)(7)(A), (b)(7)(C)
CDR Misiewicz, M. V.

(b)(6), (b)(7)(A), (b)(7)(C)
CDR Pitts, B.R.
(b)(6), (b)(7)(A), (b)(7)(C)
CDR Sanchez, J.L.
(b)(6), (b)(7)(A), (b)(7)(C)
CDR Shedd, S.F.
(b)(6), (b)(7)(A), (b)(7)(C)
Simpkins, P
(b)(6), (b)(7)(A), (b)(7)(C)

¹ This information was reported to DODCAF via JPAS. The CDA transmitted amplifying information to DODCAF with respect to these persons. An asterisk denotes a subject not previously flagged by DODCAF.

² This information was reported to DODCAF via JPAS. When the adjudications are complete, the CDA will transmit amplifying information. An asterisk denotes a subject not previously been flagged by DODCAF.

³ These cases are closed with no adverse accountability or other administrative action taken.



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 414
27 Apr 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against (b)(7)(A), while (b)(7)(A) served as (b)(7)(A) Destroyer Squadron 15 (DESRON 15), from 2005 to 2007, and (b)(7)(A) Carrier Strike Group SEVEN (CSG-7), from 2010-2011. Based on a preponderance of the evidence, I substantiated two of ten allegations of misconduct against (b)(7)(A). The substantiated misconduct occurred during a port visit to Hong Kong in November 2005 and a port visit to Singapore in July 2006. As set forth below, I found that (b)(7)(A) improperly accepted the gifts of two dinner events, with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 3 September 2006, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) (b)(7)(A) was alleged to have improperly accepted the gift of a dinner in Pattaya, Thailand, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that (b)(7)(A) held an honest and reasonable belief that (b)(7)(A) (b)(7)(A). Therefore, a preponderance of the evidence does not support a violation of reference (c).

b. On or about 3 September 2006, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) (b)(7)(A) was alleged to have improperly accepted the gift of a Thai Orchid floral bouquet for (b)(6), (b)(7)(C) in Laem Chabang, Thailand, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude that the value of the gift exceeded ethical limits. Therefore, a preponderance of the evidence does not support a violation of reference (c).

c. On or about 3 September 2006, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) (b)(7)(A) was alleged to have improperly accepted the gift of a Thai Elephant Fruit Bowl, in Laem Chabang, Thailand, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude (b)(7)(A).

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

(b)(7)(A) actually received this gift. Therefore, a preponderance of the evidence does not support a violation of reference (c).

d. On or about November 2006, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) in the (b)(7)(A) in Hong Kong, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to conclude (b)(7)(A) actually attended this dinner. Therefore, a preponderance of the evidence does not support a violation of reference (c).

e. On or about November 2006, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have improperly accepted the gift of a (b)(7)(A) (b)(7)(A) Hong Kong, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined (b)(7)(A) held an honest and reasonable belief (b)(7)(A). Therefore, a preponderance of the evidence does not support a violation of reference (c).

f. On or about May 2011, while serving as (b)(7)(A) CSG-7, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner reception, at the (b)(7)(A) (b)(7)(A) Phuket, Thailand, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that (b)(7)(A) held an honest and reasonable belief (b)(7)(A). Therefore, a preponderance of the evidence does not support a violation of reference (c).

g. On or about May 2011, while serving as (b)(7)(A) CSG-7, (b)(7)(A) was alleged to have improperly accepted the gift of a painting from Mr Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that (b)(7)(A) held an honest and reasonable belief that the painting (b)(7)(A) that had little intrinsic value. Therefore, a preponderance of the evidence does not support a violation of reference (c).

h. On or about May 2011, while serving as (b)(7)(A) CSG-7, (b)(7)(A) was alleged to have improperly endorsed Mr. Francis/GDMA with a "Bravo Zulu" letter. I determined (b)(7)(A) did not improperly endorse Mr. Francis/GDMA. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. In relation to the substantiated allegations, I determined that:

a. On or about November 2005, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) improperly accepted the gift of a dinner for (b)(7)(A) and (b)(6), (b)(7)(C) at the (b)(7)(A) in the (b)(7)(A) Hong Kong, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based on the facts and circumstances known to me regarding the value and lavish nature of this meal, I determined that (b)(7)(A) held no reasonable mistake of fact that (b)(7)(A) free attendance at this meal was ethically permissible. Therefore, I find that none of the exceptions in reference (c) apply.

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

b. On or about July 2006, while serving as (b)(7)(A) DESRON 15 (b)(7)(A) improperly accepted the gift of an event on the (b)(7)(A) Singapore from Mr. Francis/GDMA. I determined that (b)(7)(A) gave Mr. Leonard approximately (b)(7)(A) that night, however the fair market value of the gift to (b)(7)(A) significantly exceeded that amount. Therefore, I find that none of the exceptions in reference (c) apply.

4. The substantiated findings above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of this event. For example, while we are now aware of the extent of Mr. Francis' criminal enterprise against the United States, there is no evidence that (b)(7)(A) was aware of Mr. Francis' criminal activities at the time of the event. In addition, there is no evidence that (b)(7)(A) took any action to benefit GDMA. Finally, (b)(7)(A) had an otherwise long and successful Navy career.

5. I personally addressed this matter with (b)(7)(A) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is (b)(7)(C) may be reached (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS
DCIS

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 413
27 Apr 18

From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against (b)(7)(A) while (b)(7)(A) served as (1) (b)(7)(A) USS VINCENNES (CG 49) from 1998-2000; (2) (b)(7)(A) Destroyer Squadron 15 (DESRON 15) from 2003-2005; and (3) (b)(7)(A) Carrier Strike Group ONE (CSG-1) from 2010-2011. Based on a preponderance of the evidence, I substantiated five of fifteen allegations of misconduct against (b)(7)(A). As set forth below, I found that (b)(7)(A) wrongfully accepted the gifts of dinners, with values in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source, and improperly endorsed Mr. Francis/GDMA.

2. In relation to the unsubstantiated allegations:

a. On or about December 1999, while serving as (b)(7)(A) VINCENNES, (b)(7)(A) was alleged to have improperly accepted the gift of a catered reception, with a market value in excess of ethical limits, in Langkawi, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined (b)(7)(A) had an honest and reasonable belief the (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 9 April 2004, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have improperly accepted the gift of drinks, with a market value in excess of ethical limits, in Singapore, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that (b)(7)(A) accepted this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

c. On or about 10 April 2004, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have improperly accepted the gift of drinks, with a market value in excess of ethical limits, in Singapore, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that (b)(7)(A) accepted this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

d. On or about April 2004, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have improperly accepted the gift of an engraved nameplate from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that (b)(7)(A) accepted this gift. Additionally, this gift is an item with little intrinsic value, and would not have been deemed a gift even if there was sufficient evidence that (b)(7)(A) had accepted it. Therefore, the preponderance of the evidence does not support a violation of reference (c).

e. On or about 13 April 2004, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have improperly endorsed Mr. Francis/GDMA with a letter. Based upon the facts and circumstances known to me regarding this allegation, I determined this letter did not improperly endorse Mr. Francis/GDMA. Therefore, the preponderance of the evidence does not support a violation of reference (c).

f. On or about 13 February 2005, while serving as (b)(7)(A) DESRON 15, (b)(7)(A) was alleged to have used (b)(7)(A) public office to improperly enrich Mr. Francis/GDMA through the superfluous rental of fenders in Hong Kong. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(7)(A) used (b)(7)(A) public office for this purpose. Therefore, the preponderance of the evidence does not support a violation of reference (c).

g. On or about January 2011, while serving as (b)(7)(A) CSG-1, (b)(7)(A) was alleged to have used (b)(7)(A) public office to improperly enrich Mr. Francis/GDMA with regard to a port visit in Port Klang, Malaysia. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence that (b)(7)(A) used (b)(7)(A) public office for this purpose. Therefore, the preponderance of the evidence does not support a violation of reference (c).

h. On or about 22 January 2011, while serving as (b)(7)(A) CSG-1, (b)(7)(A) was alleged to have improperly accepted the gift of a lunch reception, with a market value in excess of ethical limits, at the (b)(7)(A) Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined the exception relating to meals, refreshments, and entertainment in foreign areas, as described in 5 C.F.R. § 2635.204(i), applies. Therefore, the preponderance of the evidence does not support a violation of reference (c).

i. On or about January 2011, while serving as (b)(7)(A) CSG-1, (b)(7)(A) was alleged to have improperly accepted the gift of a golf outing at the (b)(7)(A) Kuala Lumpur, Malaysia, from Mr. Francis/GDMA. Based upon the facts and circumstances

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

known to me regarding this allegation, I determined there is insufficient evidence to find that (b)(7)(A) accepted this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

j. On or about 22 May 2011, while serving as (b)(7)(A) CSG-1, (b)(7)(A) was alleged to have improperly accepted the gift of drinks, with a market value in excess of ethical limits, at the (b)(7)(A) Hong Kong, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence to find that (b)(7)(A) accepted this gift. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. In relation to the substantiated allegations, I determined that:

a. On or about 10 April 2004, while serving as (b)(7)(A) DESRON 15, and as the (b)(7)(A) (b)(7)(A) in attendance, (b)(7)(A) improperly accepted the gift of a dinner, with a market value in excess of ethical limits, in Singapore, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

b. On or about 22 February 2005, while serving as (b)(7)(A) DESRON 15, and as the (b)(7)(A) in attendance, (b)(7)(A) improperly accepted the gift of a (b)(7)(A) dinner, at the (b)(7)(A) Hong Kong, from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

c. On or about 1 March 2005, while serving as (b)(7)(A) DESRON 15 (b)(7)(A) improperly endorsed Mr. Francis/GDMA with a Bravo Zulu message. Mr. Francis solicited (b)(7)(A) for an endorsement and (b)(7)(A) responded with an official Navy message containing language that violated reference (c).

d. On or about 22 January 2011, while serving as (b)(7)(A) CSG-1, and as the (b)(7)(A) (b)(7)(A) in attendance, (b)(7)(A) improperly accepted the gift of a (b)(7)(A) dinner, at the (b)(7)(A) from Mr. Francis/GDMA. I find that none of the gift exceptions within reference (c) apply.

e. On or about 31 January 2011, while serving as (b)(7)(A) CSG-1, (b)(7)(A) improperly endorsed Mr. Francis/GDMA with a letter of appreciation. Mr. Francis solicited (b)(7)(A) for an endorsement and (b)(7)(A) responded with a letter of appreciation containing language that violated reference (c).

4. The substantiated findings above constitute adverse information in accordance with reference (d). While substantiated, it is important to understand the context of the events. For example, while we are now aware of the extent of Mr. Francis' criminal enterprise against the United States, there is no evidence that (b)(7)(A) was aware of Mr. Francis' criminal activities at the time of the event. Additionally, (b)(7)(A) had an otherwise long and successful naval career.

5. I personally addressed this with (b)(7)(A) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence

Subj: ADVERSE INFORMATION ICO

(b)(7)(A)

and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP
NCIS
DCIS

(b)(7)(C)



DEPARTMENT OF THE NAVY
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 412
27 Apr 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(7)(A)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against (b)(7)(A) while (b)(7)(A) served as (b)(7)(A) USS ENTERPRISE (CVN 65), from 2004 until 2007. I determined that a preponderance of the evidence does not substantiate the allegations of misconduct against (b)(7)(A). In addition, there is no evidence that (b)(7)(A) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that:

a. On or about 19 July 2006, while serving as (b)(7)(A) ENTERPRISE, (b)(7)(A) was alleged to have improperly accepted the gift of a hotel room in Hong Kong, with a market value in excess of ethical limits from Mr. Francis/GDMA, a prohibited source. Based upon the facts and circumstances known to me regarding this allegation, I determined there is insufficient evidence of improperly receiving a gift. Moreover, (b)(7)(A) held an honest and reasonable belief that (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

b. On or about 17 August 2006, while serving as (b)(7)(A) ENTERPRISE, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) Kuala Lumpur, Malaysia, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that (b)(7)(A) held an honest and reasonable belief that (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

c. On or about 20 August 2006, while serving as (b)(7)(A) ENTERPRISE, (b)(7)(A) was alleged to have improperly accepted the gift of cigars, wine, and a wooden

Subj: REPORTABLE INFORMATION ICO

(b)(7)(A)

name plaque, each with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that (b)(7)(A) properly disposed of the box of cigars by distributing them amongst the crew, (b)(7)(A) in regards to the wine, and properly disposed of the wooden name plaque. Therefore, the preponderance of the evidence does not support a violation of reference (c).

d. On or about 21 August 2006, while serving as (b)(7)(A) ENTERPRISE, (b)(7)(A) was alleged to have improperly endorsed Mr. Francis/GDMA with a thank you letter and a "Bravo Zulu" message. Based upon the facts and circumstances known to me regarding this allegation, I determined that the language used in the thank you letter and Bravo Zulu message did not improperly endorse Mr. Francis/GDMA. Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP (N00F)
NCIS
DCIS (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 411
27 Apr 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against [REDACTED] USN, while [REDACTED] served as [REDACTED] Destroyer Squadron 21 (DESRON 21), in September 2004. Based on a preponderance of the evidence, I substantiated one of three allegations of misconduct against [REDACTED] and unsubstantiated two allegations. The substantiated misconduct occurred during a port visit to Kuala Lumpur during 2004. As set forth below, I found that [REDACTED] improperly accepted the gift of a pewter dagger in a glass display case, with a value in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 1-5 September 2004, while serving as [REDACTED] DESRON 21, [REDACTED] was alleged to have improperly accepted the gift of a dinner in Kuala Lumpur, Malaysia, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that the exception for meals, refreshments, and entertainment in a foreign area applies to this dinner. I further determined that [REDACTED] held an honest and reasonable belief that [REDACTED]
[REDACTED]
Therefore, a preponderance of the evidence does not support a violation of reference (c).

b. On or about 1-5 September 2004, while serving as [REDACTED] DESRON 21, [REDACTED] was alleged to have improperly accepted the gift of a wooden name plaque, with a value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding the allegation, I determined that the wooden name plaque was an item of little intrinsic value, intended solely for presentation, and did not qualify as a gift under reference (c). Therefore, a preponderance of the evidence does not support a violation of reference (c).

Subj: ADVERSE INFORMATION ICO [REDACTED] (b)(7)(A) USN

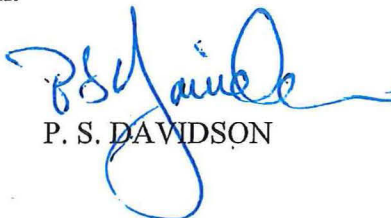
3. In relation to the substantiated allegation, I determined that on or about 1-5 September 2004, while serving as [REDACTED] (b)(7)(A) DESRON 21, [REDACTED] (b)(7)(A) improperly accepted the gift of a pewter dagger in a glass display case, with a value in excess of ethical limits, from Mr. Francis/GDMA. I found that none of the exceptions in reference (c) apply.

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is a minor and technical ethical violation. Additionally, it is important to understand the context of this event. For example, while we are now aware of the extent of Mr. Francis' criminal enterprise against the United States, there is no evidence that [REDACTED] (b)(7)(A) was aware of Mr. Francis' criminal activities at the time of the event. In addition, there is no evidence that [REDACTED] (b)(7)(A) took any action to benefit GDMA. Finally, [REDACTED] (b)(7)(A) had an otherwise long and successful Navy career.

5. I personally addressed this matter with [REDACTED] (b)(7)(A) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. I recommend [REDACTED] (b)(7)(A) retire in the grade of [REDACTED] (b)(7)(A)

7. My point of contact for this matter is [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP (N00F)
NCIS [REDACTED] (b)(7)(C)
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 410
27 Apr 18

From: Commander, U.S. Fleet Forces Command
To: Commodore, Explosive Ordnance Disposal Group ONE

Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION IC [REDACTED]
[REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) DoDM 5200.02
(d) SECNAV M 5510.30
(e) DoDM 5105.21 vol. 3
(f) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I am reviewing allegations of misconduct against [REDACTED] USN, concerning behavior that occurred while [REDACTED] served as [REDACTED] between 2008 and 2010. The alleged misconduct reflects on [REDACTED] suitability for continued access to classified information pending completion of the adjudicative process.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend [REDACTED] access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to [REDACTED] and completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct in accordance with references (c) through (f).

3. My point of contact in this matter is [REDACTED] may be reached by phone at [REDACTED]@navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/409
27 Apr 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Naval Supply Systems Command


Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION IGO (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) DoDM 5200.02
(d) SECNAV M 5510.30
(e) DoDM 5105.21 vol. 3
(f) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I am reviewing allegations of misconduct against (b)(6), (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) USN, concerning behavior that occurred while (b)(7)(A) served as (b)(6), (b)(7)(A), (b)(7)(C) between 2006 and 2008, and (b)(6), (b)(7)(A), (b)(7)(C) between 2011 and 2013. The alleged misconduct reflects on (b)(7)(A), suitability for continued access to classified information pending completion of the adjudicative process.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend (b)(6), (b)(7)(A), (b)(7)(C) access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to (b)(6), (b)(7)(A), (b)(7)(C) and completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct in accordance with references (c) through (f).

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 260
NORFOLK VA 23551-2487

5500
Ser CDA/ 408
19 Apr 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Naval Service Training Command

Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO (b)(7)(A)
(b)(7)(A) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) DoDM 5200.02
(d) SECNAV M 5510.30
(e) DoDM 5105.21 vol. 3
(f) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I am reviewing allegations of misconduct against (b)(7)(A) USN concerning behavior that occurred while (b)(7)(A) served as (b)(7)(A) (b)(7)(A) between 2001 and 2013. The alleged misconduct reflects on (b)(7)(A) suitability for continued access to classified information pending completion of the adjudicative process.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend (b)(7)(A) access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to (b)(7)(A) and completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility in accordance with references (c) through (f).

3. My point of contact in this matter is (b)(7)(C) He may be reached by phone at (b)(7)(C)


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/406
12 Apr 18

From: Fleet Judge Advocate, U.S. Fleet Forces Command
To: Legal Counsel, Chief of Naval Personnel
Legal Counsel, Naval Inspector General

Subj: [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C)

1. On 6 April 2018, [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) was referred to the Consolidated Disposition Authority (CDA) for Glenn Defense Marine Asia (GDMA) matters. On 11 April 2018, the CDA Legal Team confirmed with Defense Criminal Investigative Service that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) is not a person of interest to the GDMA investigation in any way.

2. My point of contact in this matter is [REDACTED] (b)(7)(C) may be reached by phone at [REDACTED] (b)(7)(C) @navy.mil.

[REDACTED] (b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/ 404
6 Apr 18

From: Commander, U.S. Fleet Forces Command
To: (b)(7)(A) USN

Subj: REQUEST FOR INFORMATION ICO (b)(7)(A) USN

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff has reviewed information that while serving as (b)(7)(A) Destroyer Squadron TWO ONE, you:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations and provide any necessary context for these or other interactions you may have had with Mr. Francis/GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 16 April 2018. Should you have any questions or need additional time, please contact (b)(7)(C) @navy.mil.

(b)(7)(C)



DEPARTMENT OF THE NAVY

COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/ 405
6 Apr 18

From: Commander, United States Fleet Forces Command

To: (b)(6), (b)(7)(A), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff reviewed information that, while serving as (b)(6), (b)(7)(A), (b)(7)(C) USS HOPPER (DDG 70), and (b)(6), (b)(7)(A), (b)(7)(C) USS CARL VINSON (CVN 70), you:

(b)(7)(A)

Subj: REQUEST FOR INFORMATION

(b)(7)(A)

Subj: REQUEST FOR INFORMATION

(b)(7)(A)

2. This serves as your opportunity to provide information concerning this allegation, and provide any necessary context for this or other interactions you may have had with (b)(6), (b)(7)(A), (b)(7)(C) Mr. Leonard Francis and/or GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 17 April 2018. Should you have any questions or need additional time, please contact (b)(7)(C) or (b)(7)(C)@navy.mil.

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

5800
Ser CDA/ 403
6 Apr 18

From: Commander, U.S. Fleet Forces Command

To: (b)(6), (b)(7)(A), (b)(7)(C) USN

Subj: REQUEST FOR INFORMATION ICC (b)(6), (b)(7)(A), (b)(7)(C) USN

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff reviewed information that:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations and provide any necessary context for these or other interactions you may have had with Mr. Leonard Francis and/or GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 13 April 2018. Should you have any questions or need additional time, please contact (b)(7)(C) @navy.mil.

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 402
5 Apr 18

From: Commander, United States Fleet Forces Command
To: (b)(7)(A) USN

Subj: REQUEST FOR INFORMATION

1. The Glenn Defense Marine Asia (GDMA) Consolidated Disposition Authority (CDA) Legal Staff reviewed information that:

(b)(7)(A)

2. This serves as your opportunity to provide information concerning these allegations and provide any necessary context for this or other interactions you may have had with Mr. Francis/GDMA during your naval career. Any information provided by you will be thoughtfully considered by the GDMA CDA in deliberations on this matter.

3. Please provide any response no later than 23 April 2018. Should you have any questions or need additional time, please contact (b)(7)(C) or (b)(7)(C)@navy.mil.

(b)(7)(C)



DEPARTMENT OF THE NAVY
COMMANDER
U.S. FLEET FORCES COMMAND
1562 MITSCHER AVENUE, SUITE 250
NORFOLK, VA 23551-2487

1650
Ser CDA/401
5 Apr 18

From: Commander, U.S. Fleet Forces Command
To: Secretary of the Navy

(b)(7)(C)

4/5

Subj: RECOMMENDATION ICO RADM RICHARD WREN, USN (RET)

Ref: (a) VCNO CDA Memo dtd 29 Aug 16
(b) Uniform Code of Military Justice
(c) 5 C.F.R. §2635.202
(d) 5 C.F.R. §2635.203
(e) U.S. Navy Regulations

Encl:

(b)(5)

1. Summary. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per reference (a), I found that a preponderance of the evidence substantiates that Rear Admiral Richard Wren, USN (Ret), violated the Standards of Ethical Conduct, Joint Ethics Regulation, and Navy Regulations, and committed misconduct under reference (b), while serving in the rank of Rear Admiral as Commander, Carrier Strike Group 5 (CSG-5), and Commander, U.S. Naval Forces Japan. RADM Wren exercised very poor judgment when he accepted numerous and frequent gifts from Mr. Leonard Francis/GDMA, a prohibited source per references (c) and (d). By his repeated acceptance of gifts from Mr. Francis/GDMA, RADM Wren failed to display the requisite leadership that is required of all commanders by reference (e).

2. Substantiated Allegations. I found that the evidence substantiates the following nine allegations of misconduct:

a. On or about 30 August 2007, while serving as Commander, CSG-5, RADM Wren improperly accepted the gift of a dinner at the (b)(7)(A) Kuala Lumpur, Malaysia, from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

b. On or about 28 February 2008, while serving as Commander, CSG-5, RADM Wren improperly accepted the gift of a dinner at the (b)(7)(A) Yokohama, Japan, from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

c. On or about 28 February 2008, while serving as Commander, CSG-5, RADM Wren improperly accepted the gifts of Cuban Cohiba cigars and an expensive bottle of 50-year-old French wine from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

Subj: RECOMMENDATION ICO RADM RICHARD WREN, USN (RET)

d. On or about 29 April 2008, while serving as Commander, CSG-5, RADM Wren improperly accepted the gift of a dinner at the (b)(7)(A) in Hong Kong from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

e. On or about 20 December 2008, while serving as Commander, CSG-5, RADM Wren improperly accepted the gifts of two boxes of steak, a bag containing wine and cigars, and three bottles of champagne from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

f. On or about 17 December 2009, while serving as Commander, U.S. Naval Forces Japan, RADM Wren improperly accepted the gift of a box of steaks from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

g. On or about 10 June 2010, while serving as Commander, U.S. Naval Forces, Japan, RADM Wren improperly accepted the gifts of a bottle of Scotch whisky and a box of cigars from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source;

h. On or about 17 December 2010, while serving as Commander, U.S. Naval Forces, Japan, RADM Wren improperly accepted the gifts of Kobe Beef and a fruit basket from Mr. Leonard Francis/GDMA, a defense contractor and prohibited source; and

i. On or about 17 June 2015, while retired but still subject to the Uniform Code of Military Justice, RADM Wren, with intent to deceive, made an official statement to a Defense Criminal Investigative Service (DCIS) Special Agent, to wit: That he had never attended a dinner based on a personal invitation from Mr. Leonard Francis, which was totally false and was then known by RADM Wren to be false.

3. Discussion.

a. Background. RADM Wren served in the following grades and billets throughout the time in which he committed misconduct:

(1) RDML, Commander, CSG-5, Jan 2007 – Dec 2008; and

(2) RADM, Commander, U.S. Naval Forces Japan, Dec 2008 – May 2011.

b. Outline of substantiated allegations. I substantiated misconduct for RADM Wren's acceptance of numerous dinner events and gifts spanning from 2007 to 2010. I also substantiated misconduct for RADM Wren's false official statement to DCIS in 2015 regarding these allegations. The following information is specific to each substantiated allegation:

(1) Acceptance of a dinner at the (b)(7)(A) Kuala Lumpur, Malaysia, in August 2007. On 30 August 2007, Leonard Francis hosted a dinner at the (b)(7)(A) for U.S. Naval Officers and Royal Malaysian Navy personnel. RADM Wren admitted to attending this dinner and was the senior officer present. There is no evidence that RADM Wren paid for

Subj: RECOMMENDATION ICO RADM RICHARD WREN, USN (RET)

his meal. I determined that this gift was in excess of permissible limits and none of the gift exceptions apply. Therefore, RADM Wren improperly accepted a gift from a prohibited source.

(2) Acceptance of a dinner at the (b)(7)(A) Yokohama, Japan, in February 2008. On 28 February 2008, Leonard Francis hosted a private dinner for himself, another GDMA employee, and RADM Wren at the (b)(7)(A). Although RADM Wren says he does not recall attending the dinner, (b)(7)(A), (b)(7)(D)

(b)(7)(A), (b)(7)(D) (b)(7)(A), (b)(7)(D) indicate the cost of the dinner was approximately \$8,827; therefore, RADM Wren's pro rata share would have been approximately \$2,942. There is no evidence that RADM Wren paid for his meal, nor is there evidence that he (b)(7)(A) of the dinner. I determined that this gift was in excess of permissible limits and none of the gift exceptions apply. Therefore, RADM Wren improperly accepted a gift from a prohibited source.

(3) Acceptance of Cuban cigars and French wine in Yokohama, Japan, in February 2008. On 28 February 2008, Leonard Francis gave RADM Wren an expensive bottle of 50-year-old French wine and Cohiba cigars at the private dinner event RADM Wren attended at the (b)(7)(A). (b)(7)(A) Although RADM Wren stated he did not recall attending this dinner or receiving these gifts, I determined there was sufficient evidence that RADM Wren did receive these gifts and that the value of these gifts was in excess of permissible limits. There was no evidence that RADM Wren (b)(7)(A) of the gifts and I determined that none of the gift exceptions apply. Therefore, RADM Wren improperly accepted gifts from a prohibited source.

(4) Acceptance of a dinner at the (b)(7)(A) Hong Kong, in April 2008. On 29 April 2008, Leonard Francis hosted a private dinner for (b)(7)(A) U.S. Naval Officers at the (b)(7)(A). (b)(7)(A) RADM Wren attended the dinner and was the senior officer present. (b)(7)(A) Receipts from Leonard Francis's records indicate the cost of the dinner was approximately \$32,422; therefore, RADM Wren's pro rata share was approximately \$3,242. RADM Wren (b)(7)(A), (b)(7)(D) (b)(7)(A), (b)(7)(D) There is no evidence that RADM Wren paid for his meal, nor is there evidence that he (b)(7)(A) of the dinner. I determined that this gift was in excess of permissible limits and none of the gift exceptions apply. Therefore, RADM Wren improperly accepted a gift from a prohibited source.

(5) Acceptance of steaks, wine, cigars, and champagne in Japan, in December 2008. On 20 December 2008, Leonard Francis had two boxes of high end beef, a bag full of wine and cigars, and three bottles of Dom Perignon Champagne delivered to RADM Wren's residence in Japan. RADM Wren later (b)(7)(A), (b)(7)(D) RADM Wren told investigators he did not recall receiving these gifts, but did recall that there were some (b)(7)(A) which he later determined to be GDMA. The value of these gifts was in excess of permissible limits. There was no evidence that RADM Wren (b)(7)(A) of the gifts and I determined that none of the gift exceptions apply. Therefore, RADM Wren improperly accepted gifts from a prohibited source.

Subj: RECOMMENDATION ICO RADM RICHARD WREN, USN (RET)

(6) Acceptance of steaks in Japan, in December 2009. On 17 December 2009, a GDMA employee (b)(7)(A), (b)(7)(D) box of steaks to RADM Wren (b)(7)(A), (b)(7)(D) stated that the boxes typically contained twenty steaks, which were worth approximately \$100 each. (b)(7)(A), (b)(7)(D)

(b)(7)(A), (b)(7)(D) RADM Wren did not specifically recall receiving this gift, (b)(7)(A) and that it was not uncommon for him to receive perishable items such as food and liquor. The value of this gift was in excess of permissible limits and I determined that none of the gift exceptions apply. Therefore, RADM Wren improperly accepted a gift from a prohibited source.

(7) Acceptance of Scotch whisky and a box of cigars in Japan, in June 2010. On 10 June 2010 (b)(7)(A), (b)(7)(D) a bottle of Scotch whisky and cigars to RADM Wren (b)(7)(A) in Japan. (b)(7)(A), (b)(7)(D)

(b)(7)(A), (b)(7)(D) RADM Wren denied receiving the cigars, but did not mention the Scotch whisky in his statement to the CDA. The value of these gifts was in excess of permissible limits. There was no evidence that RADM Wren (b)(7)(A) of the gifts and I determined that none of the gift exceptions apply. Therefore, RADM Wren improperly accepted a gift from a prohibited source.

(8) Acceptance of Kobe Beef and a fruit basket in Japan, in December 2010. On 17 December 2010, (b)(7)(A), (b)(7)(D) Kobe Beef steaks and a fruit basket to RADM Wren (b)(7)(A) in Japan. (b)(7)(A), (b)(7)(D)

(b)(7)(A), (b)(7)(D) RADM Wren did not address these gifts in his statement to the CDA. The value of these gifts was in excess of permissible limits. There was no evidence that RADM Wren (b)(7)(A) of the gifts and I determined that none of the gift exceptions apply. Therefore, RADM Wren improperly accepted a gift from a prohibited source.

(9) False official statement in June 2015. On 17 June 2015, RADM Wren was asked by a DCIS investigator whether he ever received a personal invitation to a dinner from Leonard Francis. RADM Wren denied ever receiving such an invitation. However, (b)(7)(A) that RADM Wren accepted an invitation to a private dinner with Leonard Francis and another GDMA employee at the (b)(7)(A) Yokohama, Japan. Further (b)(7)(A) RADM Wren again accepted a personal invitation from Leonard Francis to attend a dinner at the (b)(7)(A) Hong Kong. I determined that RADM Wren knew his statement was false when he made it. Therefore, RADM Wren made a false official statement to a DCIS investigator.

c. Extent of Unduly Familiar Relationship with Leonard Francis. RADM Wren maintained an unduly familiar relationship with Leonard Francis that began when RADM Wren was serving as Commander, CSG-5. Between 2007 and 2010, RADM Wren accepted numerous gifts directly from Francis and GDMA, both prohibited sources. RADM Wren also wrote a letter of

Subj: RECOMMENDATION ICO RADM RICHARD WREN, USN (RET)

appreciation for Leonard Francis on official Commander, Battle Force, U.S. SEVENTH FLEET letterhead, at Leonard Francis' request. The frequency with which RADM Wren accepted gifts from Leonard Francis clearly exceeds any reasonable standard and created, at a minimum, the perception that RADM Wren leveraged his position of authority in the U.S. Navy for private gain.

(1) In addition to the substantiated allegations,

(b)(5)

(b)(5)

(2) (b)(7)(A), (b)(7)(D) that RADM Wren eagerly accepted everything he was given by GDMA. RADM Wren's attendance at multiple extravagant dinners and receipt of lavish gifts are evidence of RADM Wren's close relationship with Leonard Francis. Further, RADM Wren was neither helpful, nor was he forthcoming, in his interactions with DCIS, which is evidenced both by the false official statement he made to investigators, as well as his lack of acceptance of responsibility for his actions. RADM Wren's failure to maintain an arms-length relationship with a defense contractor and prohibited source, his frequent interaction and unduly familiar relationship with Leonard Francis, his repeated acceptance of gifts, and his inappropriate behavior fell well below the standard expected of Commanders and senior officers in the U.S. Navy.

4. Conclusion. In substantiating numerous instances of misconduct as set forth above, I found that RADM Wren's conduct constituted a grave and significant deviation from the standard expected of Naval Officers, especially those placed in command. Through his acceptance of numerous gifts and his inappropriate conduct, he improperly used the public office entrusted to him for his own private gain. As a senior officer, RADM Wren should have immediately appreciated the improper nature of the gifts and dinners being provided by Leonard Francis and GDMA. As Commander, CSG-5, RADM Wren was required to set the ethical tone for his subordinates. RADM Wren's personal relationship with Leonard Francis and his frequent acceptance of gifts were wholly inappropriate. In short, RADM Wren failed in his responsibilities as a Commander and a senior Naval Officer, and he violated the ethos of ethical leadership expected of any member of the U.S. Navy.

(b)(7)(A), (b)(5)

Subj: RECOMMENDATION ICO RADM RICHARD WREN, USN (RET)

5. Recommendations. I recommend you take the following actions:

(b)(5)



P. S. DAVIDSON

Copy to:
VCNO



DEPARTMENT OF THE NAVY
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/400
5 Apr 18

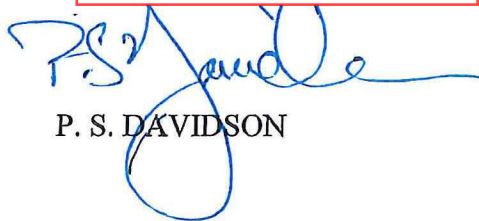
From: Commander, U.S. Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: CONCLUSION OF REVIEW ICO [REDACTED] USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed matters forwarded by the Department of Justice (DOJ) and Defense Criminal Investigative Service (DCIS) regarding [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) USN. After a thorough review, I determined there is no evidence on which to base any viable allegation of misconduct. In addition, there is no evidence that [REDACTED] (b)(6), (b)(7)(A), (b)(7)(C) improperly accepted any gifts from Mr. Leonard Francis/GDMA, a prohibited source.

2. I recommend that Navy Personnel Command remove any administrative actions associated with the officer above and this GDMA matter. My point of contact for this matter is [REDACTED] (b)(7)(C) [REDACTED] (b)(7)(C) may be reached at [REDACTED] (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP [REDACTED] (b)(7)(C)
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 399
29 Mar 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: REPORTABLE INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C) USN

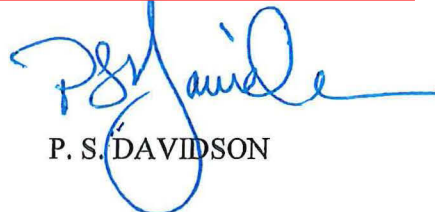
Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against (b)(6), (b)(7)(A), (b)(7)(C) USN, while (b)(7)(A) served as a (b)(6), (b)(7)(A), (b)(7)(C) at Helicopter Anti-Submarine Squadron FOURTEEN (HS-14), in September 2006. I determined that a preponderance of the evidence does not substantiate the allegation of misconduct against (b)(6), (b)(7)(A), (b)(7)(C). In addition, there is no evidence that (b)(6), (b)(7)(A), (b)(7)(C) took any official action to benefit Mr. Leonard Francis/GDMA, a prohibited source.

2. Specifically, I determined that on or about 3 September 2006, while serving as a (b)(6), (b)(7)(A), (b)(7)(C) at HS-14, (b)(6), (b)(7)(A), (b)(7)(C) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) Pattaya, Thailand, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding the foregoing allegation, I determined that (b)(6), (b)(7)(A), (b)(7)(C) held an honest and reasonable belief (b)(6), (b)(7)(C), (b)(7)(A). Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. The findings above constitute reportable information in accordance with reference (d). I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received, nor would it be appropriate in this case.

4. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C) @navy.mil.



P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP (N00F)
NCIS
DCIS



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5800
Ser CDA/ 398
29 Mar 18

From: Commander, United States Fleet Forces Command
To: Chief of Naval Personnel
Naval Inspector General

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) 5 C.F.R. § 2635
(d) DoD Instruction 1320.04

1. As the Consolidated Disposition Authority (CDA) for the Glenn Defense Marine Asia (GDMA) matter per references (a) and (b), I reviewed evidence of possible misconduct against (b)(7)(A) while (b)(7)(A) served as (b)(7)(A) Carrier Strike Group FIVE (CSG-5), from 2006 to 2008. Based on a preponderance of the evidence, I substantiated one of four allegations of misconduct against (b)(7)(A). The substantiated misconduct occurred during a port visit to Hong Kong in April 2008. As set forth below, I found that (b)(7)(A) improperly accepted the gift of a dinner, with a value in excess of ethical limits, from Mr. Leonard Francis/GDMA, a prohibited source.

2. In relation to the unsubstantiated allegations:

a. On or about 25 November 2006, while serving as (b)(7)(A) CSG-5, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) in Hong Kong, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding this allegation, I determined that (b)(7)(A) paid Mr. Francis the fair market value for the dinner (b)(7)(A). Therefore, a preponderance of the evidence does not support a violation of reference (c).

b. Between 21 and 27 November 2006, while serving as (b)(7)(A) CSG-5, (b)(7)(A) was alleged to have improperly accepted the gift of (b)(7)(A) Hong Kong, with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based upon the facts and circumstances known to me regarding the allegation, I determined that (b)(7)(A) held an honest and reasonable belief that (b)(7)(A). Therefore, a preponderance of the evidence does not support a violation of reference (c).

c. On 30 August 2007, while serving as (b)(7)(A) CSG-5, (b)(7)(A) was alleged to have improperly accepted the gift of a dinner at the (b)(7)(A) in Kuala Lumpur, (b)(7)(A) with a market value in excess of ethical limits, from Mr. Francis/GDMA. Based upon

Subj: ADVERSE INFORMATION ICO (b)(7)(A)

Francis/GDMA. Based upon the facts and circumstances known to me regarding the allegation, I determined that (b)(7)(A) held an honest and reasonable belief the (b)(7)(A)

(b)(7)(A) Therefore, the preponderance of the evidence does not support a violation of reference (c).

3. In relation to the substantiated allegation, I determined that on or about 29 April 2008, while serving as (b)(7)(A) CSG-5 (b)(7)(A) improperly accepted the gift of a \$3,252 dinner at the (b)(7)(A) Hong Kong from Mr. Francis/GDMA. Given the value and lavish nature of this meal, I determined that (b)(7)(A) held no reasonable mistake of fact that (b)(7)(A) free attendance at this meal was acceptable under the circumstances. Therefore, I find that none of the exceptions in reference (c) apply.

4. The substantiated finding above constitutes adverse information in accordance with reference (d). While substantiated, it is important to understand the context of this event. For example, while we are now aware of the extent of Mr. Francis' criminal enterprise against the United States, there is no evidence that (b)(7)(A) was aware of Mr. Francis' criminal activities at the time of the event. In addition, there is no evidence that (b)(7)(A) took any action to benefit GDMA. Finally, (b)(7)(A) had an otherwise long and successful Navy career.

5. I personally addressed this matter with (b)(7)(A) through administrative action and consider this matter closed. I considered all potential and appropriate remedies consistent with the evidence and findings of fact, including restitution and reimbursement. The CDA does not have the legal authority to require reimbursement or restitution for the value of gifts received.

6. My point of contact for this matter is (b)(7)(C) may be reached at (b)(7)(C)@navy.mil.


P. S. DAVIDSON

Copy to:
VCNO (N09D)
CNP (N00F)
NCIS [REDACTED]
DCIS [REDACTED]



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 397
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Naval Aviation Warfighting Development Center

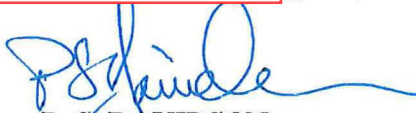
Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) COMUSFLTFORCOM ltr 5800 Ser CDA/124 of 19 May 17
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I substantiated misconduct allegations against (b)(6), (b)(7)(A), (b)(7)(C) USN, that occurred while (b)(7)(A) served as (b)(6), (b)(7)(A), (b)(7)(C) 2008. This misconduct was reported in reference (c) and reflects on (b)(7)(A), (b)(7)(C) (b)(6), (b)(7)(A), (b)(7)(C) suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to contact your Security Manager to coordinate the provision of required notices to (b)(6), (b)(7)(A), (b)(7)(C) and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct, in accordance with references (d) through (g).

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 396
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Naval Air Force, U.S. Pacific Fleet

Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO (b)(7)(A)
(b)(7)(A) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) COMUSFLTFORCOM ltr 5800 Ser CDA/039 of 1 Sep 16
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I substantiated misconduct allegations against (b)(7)(A) (b)(7)(A) USN, that occurred while (b)(7)(A) served as (b)(7)(A) (b)(7)(A) in 2007. This misconduct was reported in reference (c) and reflects on (b)(7)(A) suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to contact your Security Manager to coordinate the provision of required notices to (b)(7)(A) and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct, in accordance with references (d) through (g).

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 395
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Navy Region Hawaii

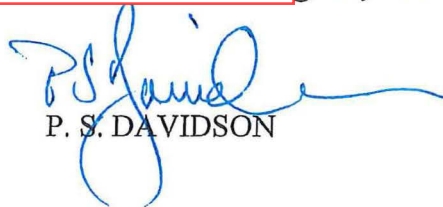
Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO CAPT
CHARLES A. JOHNSON, USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) Evidence Package ICO CAPT Charles A. Johnson, USN
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I have reviewed misconduct allegations against CAPT Charles A. Johnson, USN that occurred while he served as Executive Officer, USS CUSHING (DD 985); Readiness Officer, Carrier Strike Group FIVE (CSG-5); Commanding Officer, USS MCCAMPBELL (DDG 85); and Deputy Commodore, Destroyer Squadron THREE ONE (DESRON 31), between 2004 and 2014. Although the facts and circumstances surrounding this alleged misconduct are part of an on-going adjudicative process, they reflect on CAPT Johnson's suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend CAPT Johnson's access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to CAPT Johnson and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility, in accordance with references (d) through (g).

3. My point of contact in this matter is [REDACTED] (b)(7)(C) may be reached by phone at [REDACTED] (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
USFFC SSO
Commander, Navy Installations Command



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 394
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Commander, U.S. SEVENTH Fleet

Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO (b)(7)(A)
(b)(7)(A) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) COMUSFLTFORCOM ltr 5800 Ser CDA/364 of 2 Mar 18
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I substantiated a misconduct allegation against (b)(7)(A) USN, that occurred while (b)(7)(A) served as (b)(7)(A) from March 2009 to March 2011. This misconduct was reported in reference (c) and reflects on (b)(7)(A) suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to contact your Security Manager to coordinate the provision of required notices to (b)(7)(A) and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct, in accordance with references (d) through (g).

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 393
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Superintendent, U.S. Naval Academy


Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICN (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) COMUSFLTFORCOM ltr 5800 Ser CDA/337 of 17 Jan 18
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I substantiated misconduct allegations against (b)(6), (b)(7)(A), (b)(7)(C) USN, that occurred while (b)(7)(A) served as (b)(6), (b)(7)(A), (b)(7)(C) in 2010. This misconduct was reported in reference (c) and reflects on (b)(6), (b)(7)(A), (b)(7)(C) suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend (b)(6), (b)(7)(A), (b)(7)(C) access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to CDR Olson and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct, in accordance with references (d) through (g).

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 392
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Naval Surface Force, U.S. Pacific Fleet

Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO (b)(6), (b)(7)(A), (b)(7)(C)
(b)(6), (b)(7)(A), (b)(7)(C) USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) COMUSFLTFORCOM ltr 5800 Ser CDA/339 of 17 Jan 18
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I substantiated misconduct allegations against (b)(6), (b)(7)(A), (b)(7)(C) USN, that occurred while (b)(7)(A) served as (b)(6), (b)(7)(A), (b)(7)(C) in 2010. This misconduct was reported in reference (c) and reflects on (b)(6), (b)(7)(A), (b)(7)(C) suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend (b)(6), (b)(7)(A), (b)(7)(C) access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to (b)(6), (b)(7)(A), (b)(7)(C) and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct, in accordance with references (d) through (g).

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to: USFFC SSO



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 391
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Commander, Navy Personnel Command (PERS-00D)

Subj: ELIGIBILITY FOR ACCESS TO CLASSIFIED INFORMATION ICO CWO4 BRIAN
T. WARE, USN

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) COMUSFLTFORCOM ltr 5800 Ser N01L/005 of 26 Jan 18
(d) DoDM 5200.02
(e) SECNAV M 5510.30
(f) DoDM 5105.21 vol. 3
(g) BANIF 002-16

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I referred charges to trial by general court-martial involving misconduct allegations against CWO4 Brian T. Ware, USN, that occurred while he served as Food Service Officer on board USS BLUE RIDGE (LCC 19) and USS GEORGE WASHINGTON (CVN 73) from April 2010 to September 2013. CWO4 Ware was found guilty of the charges against him. This misconduct was reported in reference (c) and reflects on CWO4 Ware's suitability for continued access to classified information.

2. I have therefore directed the U.S. Fleet Forces Command Special Security Officer (USFFSSO), by copy of this letter, to suspend CWO4 Ware's access to classified information. USFFSSO will contact your Security Manager to coordinate the provision of required notices to CWO4 Ware and the completion of appropriate security incident reports to the Department of Defense Central Adjudication Facility concerning this misconduct, in accordance with references (d) through (g).

3. My point of contact in this matter is [REDACTED] (b)(7)(C) may be reached by phone at [REDACTED] (b)(7)(C) @navy.mil.


P. S. DAVIDSON

Copy to:
USFFC SSO
USS RONALD REAGAN (CVN 76)



DEPARTMENT OF THE NAVY
COMMANDER
UNITED STATES FLEET FORCES COMMAND
1562 MITSCHER AVENUE SUITE 250
NORFOLK VA 23551-2487

5500
Ser CDA/ 390
29 Mar 18

From: Commander, U.S. Fleet Forces Command
To: Special Security Officer, U.S. Fleet Forces Command

Subj: REPORTING OF INFORMATION TO THE DEPARTMENT OF DEFENSE
CENTRAL ADJUDICATION FACILITY (DOD CAF)

Ref: (a) SECNAV CDA Memo dtd 30 Sep 15
(b) VCNO ltr 5800 Ser N09D/16U112936 of 29 Aug 16
(c) DoDM 5200.02
(d) SECNAV M 5510.30
(e) DoDM 5105.21 vol. 3

1. As the Consolidated Disposition Authority for the Glenn Defense Marine Asia matter per references (a) and (b), I reviewed evidence of misconduct involving the following persons, each of whom is now retired from active service, which reflects on their suitability for future access to national security information:

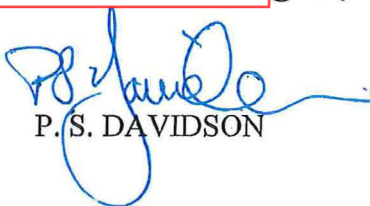
- a. RDML Kenneth J. Norton, USN (Ret);
- b. CAPT Timothy Conroy, USN (Ret);

c.
d.
e.

(b)(7)(A)

2. In accordance with references (c) through (d), you are therefore directed to complete and transmit the appropriate security incident reports to DoD CAF, and transmit to them any additional information they may require.

3. My point of contact in this matter is (b)(7)(C) may be reached by phone at (b)(7)(C) @navy.mil.


P. S. DAVIDSON